

# Computational Ocean Acoustics

## (Wave Propagation Theory)

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March 26, 2015

# Presentation Outline

- Wave Equation.
- Homogeneous Media.
- Layered Media and waveguides.
- Reflection and transmission co-efficients.
- Ideal Fluid waveguide.
- Deep Ocean waveguide and WKB approximation.

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- Propagation of the acoustic wave in the fluid is governed by the wave equation.
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  - Equation of continuity
  - Euler's equation
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# Wave equation

- **Continuity Equation:** Net change in the mass due to its flow through an element is equal to the changes in the density of the mass of element.

$$\frac{\partial \rho}{\partial t} = -\nabla \cdot (\rho v)$$

- **Euler's Equation:** Force equals mass time acceleration.

$$\rho \left[ \frac{\partial v}{\partial t} + v \cdot \nabla v \right] = -\nabla p$$

- **State Equation:** Relationship between the change in density and a change in pressure.

$$p = p(\rho, S) \text{ where } S \text{ is the entropy}$$

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# Linear Wave equation

- Assuming that each physical quantity is a function of a steady-state, time-independent value and a small fluctuation term

$$p = p_0 + p'$$

$$\rho = \rho_0 + \rho'$$

$$v = v_0 + v'$$

- The linearized equations are

$$\frac{\partial \rho'}{\partial t} = -\nabla \cdot (\rho_0 v)$$

$$\frac{\partial v}{\partial t} = -\frac{1}{\rho_0} \nabla p'(\rho)$$

$$\frac{\partial p'}{\partial t} = c^2 \left( \frac{\partial \rho'}{\partial t} + v \cdot \nabla \rho_0 \right)$$

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# Different forms of Wave equation

- Wave equation for pressure

$$\rho \nabla \cdot \left( \frac{1}{\rho} \nabla p \right) - \frac{1}{c^2} \frac{\partial^2 p}{\partial t^2} = 0$$

- Wave equation for particle velocity

$$\frac{1}{\rho} \nabla (\rho c^2 \nabla \cdot v) - \frac{\partial^2 v}{\partial t^2} = 0$$

- Wave equation for velocity potential ( $v = \nabla \phi$ )

$$\nabla^2 \phi - \frac{1}{c^2} \frac{\partial^2 \phi}{\partial t^2} = 0$$

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# Different forms of Wave equation

- Wave equation for Displacement potential ( $u = \nabla\psi$ )

$$\nabla^2\psi - \frac{1}{c^2} \frac{\partial^2\psi}{\partial t^2} = 0$$

- Wave equation in the presence of a source

$$\nabla^2\psi - \frac{1}{c^2} \frac{\partial^2\psi}{\partial t^2} = f(r, t)$$

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# Helmholtz equation

- Wave equation in the frequency domain.
- Obtained by taking the Fourier transform of the time domain wave equation.

$$[\nabla^2 + k^2(r)]\psi(r, \omega) = f(r, \omega) \quad \text{where} \quad k(r) = \frac{\omega}{c(r)}$$

- Not suitable to broadband applications due to the complexity of obtaining the inverse fourier transform.

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# Solution of the wave equation

- Three dimensional, elliptical partial differential equation.
- No universal solution available.
- The solution depends on the following factors:
  - Dimensionality of the problem
  - Sound speed variations  $c(r)$
  - Boundary conditions
  - Source-receiver geometry
  - frequency and bandwidth
- Optimum approach is the hybridization of analytical and numerical methods.

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# Wave equation solution in different co-ordinate systems

- Cartesian coordinates

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

$$\psi(x, y, z) = \begin{cases} Ae^{ik \cdot r} \\ Be^{-ik \cdot r} \end{cases}$$

where  $k = (k_x, k_y, k_z)$  is the wave vector and A, B are arbitrary amplitudes

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# Wave equation solution in different co-ordinate systems

- Cylindrical coordinates

$$\nabla^2 = \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \varphi^2} + \frac{\partial^2}{\partial z^2}$$

- For uniform line source, the solution of the wave equations is

$$\psi(r) = \begin{cases} CH_0^{(1)}(kr) \\ DH_0^{(2)}(kr) \end{cases}$$

where  $H_0^{(1)}, H_0^{(2)}$  are the hankel functions which can be represented in the asymptotic form as

$$H_0^{(1)}(kr) \simeq \sqrt{\frac{2}{\pi kr}} e^{i(kr - \pi/4)}$$
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$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} r^2 \frac{\partial}{\partial r} + \frac{1}{r^2 \sin\theta} \frac{\partial}{\partial \theta} \sin\theta \frac{\partial}{\partial \theta} + \frac{1}{r^2 \sin^2\theta} \frac{\partial^2}{\partial \phi^2}$$

$$\psi(r) = \begin{cases} (A/r)e^{ik \cdot r} \\ (B/r)e^{-ik \cdot r} \end{cases}$$

where  $k$  is the wave vector and A, B are arbitrary amplitudes

# Wave equation solution in different co-ordinate systems

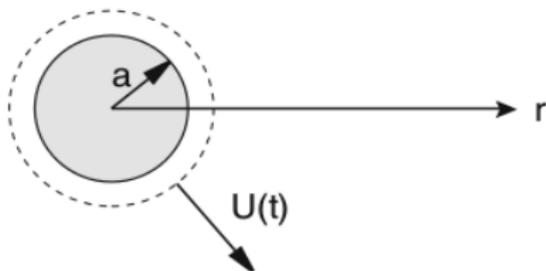
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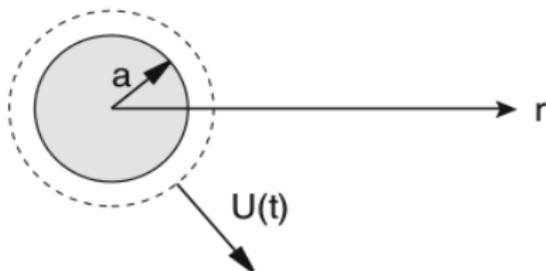
- Assuming an acoustic field in a homogeneous fluid due to a small sphere of radius  $a$  with a surface displacement given as

$$u_r(t, a) = U(t)$$

- In the homogeneous fluid, the field will be omni-directional, with the radial displacement

$$u_r = \frac{\partial \psi(r, t)}{\partial r}$$

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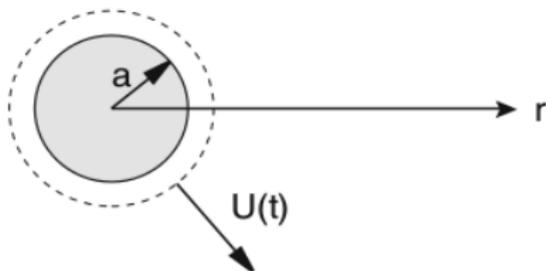
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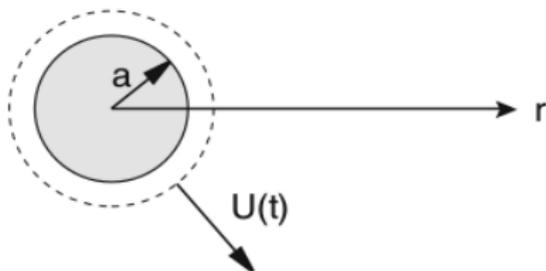
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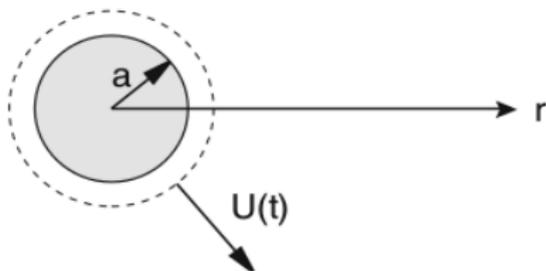


- where  $\psi$  is the displacement potential.
- Taking the fourier transform and applying the boundary condition, the solution the displacement field becomes

$$\psi(r) = -S_{\omega} \frac{e^{ikr}}{4\pi r}$$

where  $S_{\omega}$  is the source strength.

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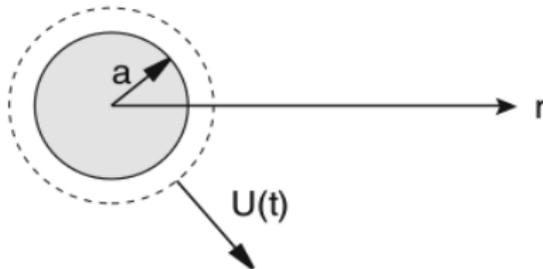


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# Green Function

- Green function can be defined as the behavior of the channel. In frequency domain it is given by

$$g_{\omega}(r, r_0) = \frac{e^{ikR}}{4\pi R} \quad \text{where} \quad R = |r - r_0|$$

- Green function satisfies the inhomogeneous Helmholtz equation,

$$[\nabla^2 + k^2]g_{\omega}(r, r_0) = -\delta(r - r_0)$$

- The green function for the time domain wave equation is obtained by taking the Fourier transform

$$g_t(r, r_0) = \frac{\delta(R/c - t)}{4\pi R}$$

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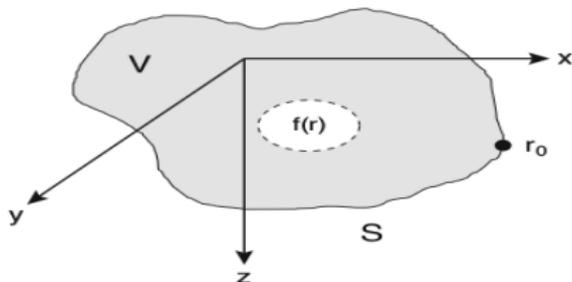
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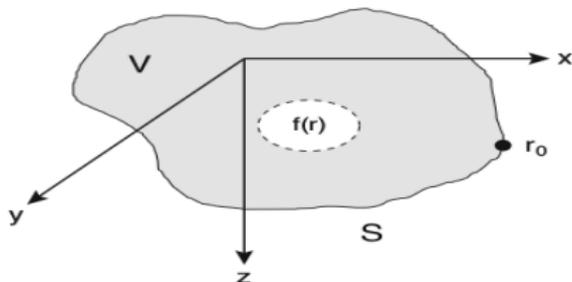


- In case of more realistic environment like a bounded media, the green function satisfies the Helmholtz equation by

$$[\nabla^2 + k^2]G_\omega(r, r_0) = \delta(r - r_0)$$
$$G_\omega(r, r_0) = g_\omega(r, r_0) + H_\omega(r)$$

- Using the green function for the bounded medium and doing some mathematical manipulations

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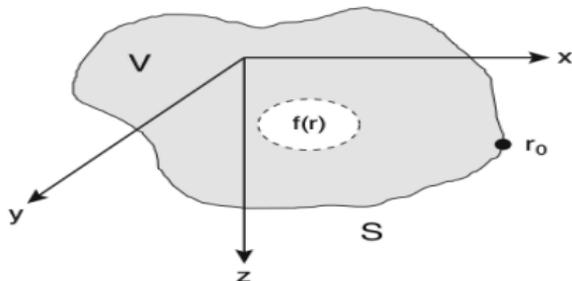


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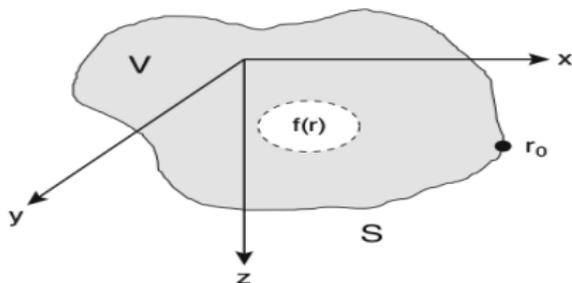


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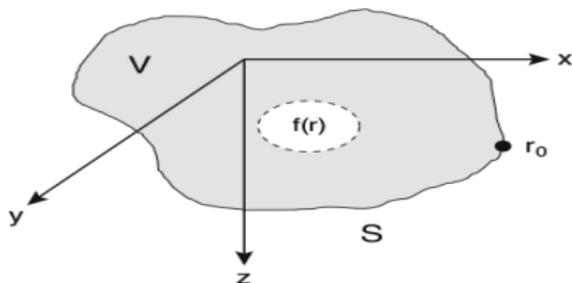


$$\psi(r) = \int_S \left[ G_\omega(r, r_0) \frac{\partial \psi(r_0)}{\partial n_0} - \psi(r_0) \frac{\partial G_\omega(r, r_0)}{\partial n_0} \right] dS_0 - \int_V f(r_0) G_\omega(r, r_0) dV_0$$

where  $n_0$  is the outward pointing normal on the surface.

- Difficult to attain the closed form solution.
- Make assumptions on the green function to simplify the expression.

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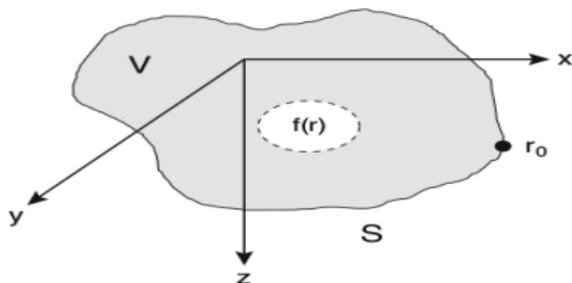


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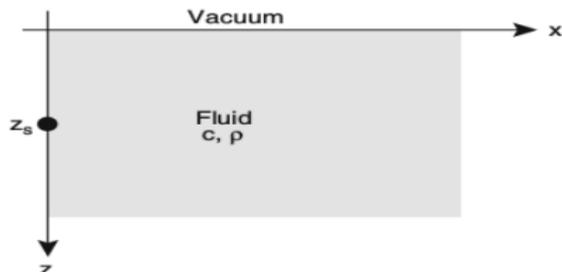


$$\psi(r) = \int_S \left[ G_\omega(r, r_0) \frac{\partial \psi(r_0)}{\partial n_0} - \psi(r_0) \frac{\partial G_\omega(r, r_0)}{\partial n_0} \right] dS_0 - \int_V f(r_0) G_\omega(r, r_0) dV_0$$

where  $n_0$  is the outward pointing normal on the surface.

- Difficult to attain the closed form solution.
- Make assumptions on the green function to simplify the expression.

# Point source in fluid halfspace



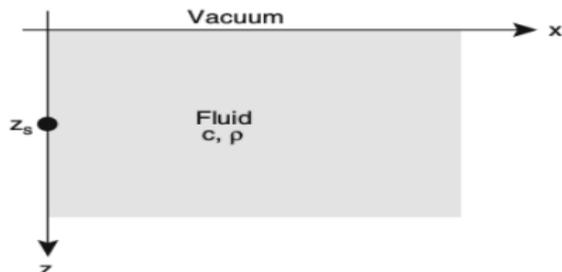
- Assuming a point source is placed at  $r_s = (x_s, y_s, z_s)$  and origin at the surface, we can replace the pressure release boundary condition by

$$\psi(r_0) = 0, \quad r_0 = (x, y, 0)$$

- Using the green function for the bounded media we have

$$\psi(r) = \int_S G_\omega(r, r_0) \frac{\partial \psi(r_0)}{\partial n_0} - \int_V f(r_0) G_\omega(r, r_0) dV_0$$

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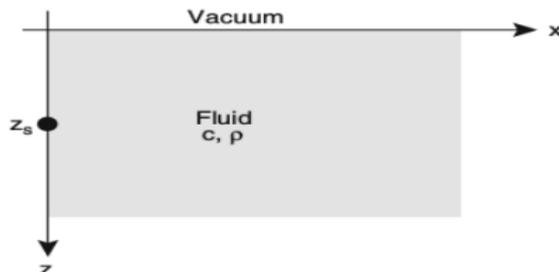
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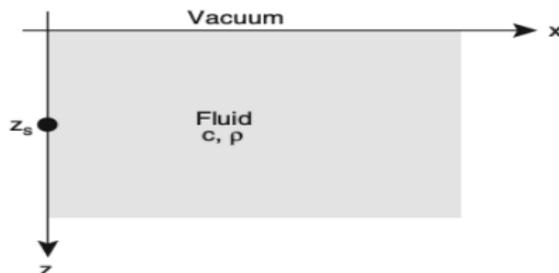
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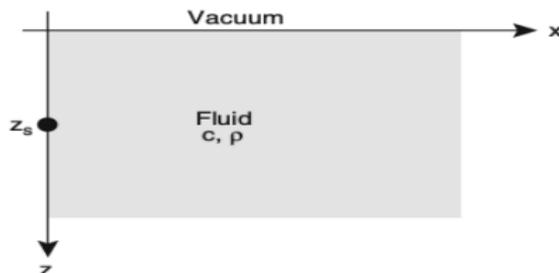
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$$f(r_0) = S_\omega \delta(r_0 - r_s)$$

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$$\psi(r) = -S_\omega G_\omega(r, r_s)$$

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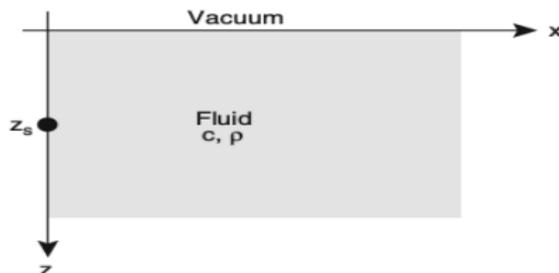
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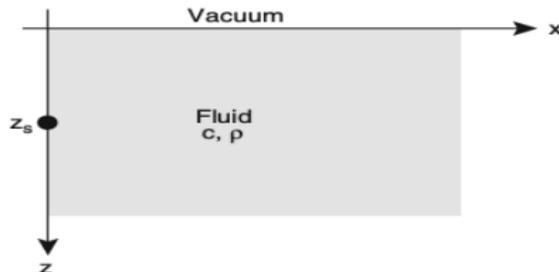
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# Plane propagation problem

- Choosing the Cartesian coordinates and assuming an infinite line source along y-axis, the Helmholtz equation is reduced to two dimension, the range and the depth.

$$\left[ \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} + k^2(z) \right] \psi(x, z) = S_\omega \delta(x) \delta(z - z_s)$$

- The boundary condition is given by

$$B[\psi(r)]_{z=z_n} = 0, \quad n = 1, \dots, N$$

- Taking the Fourier transform to obtain the depth separated equation and inserting the value of the displacement potential,

$$\left[ \frac{d^2}{dz^2} + (k^2 - k_x^2) \right] G_\omega(k_x, z, z_s) = -\frac{\delta(z - z_s)}{2\pi}$$

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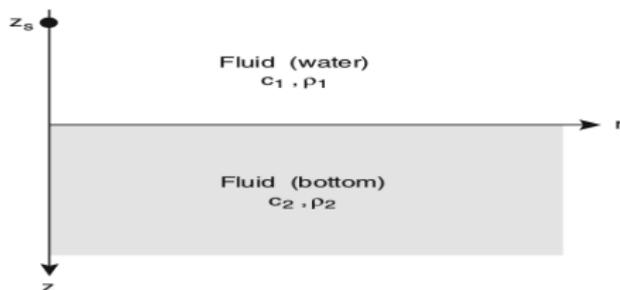
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# Reflection and Transmission



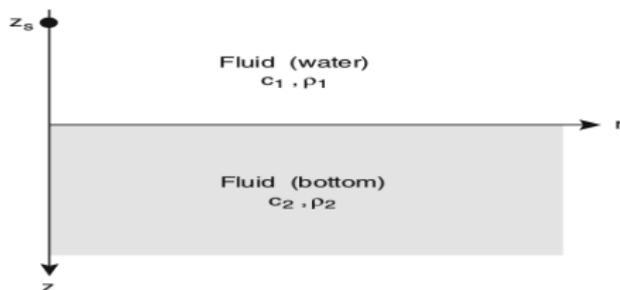
- Assuming the simplest of the bottom model as shown in the figure. The point source is located in the water column at depth  $z = z_s$ .
- For homogeneous medium, the solution of the equation is given as

$$H_\omega(k_r, z) = A^+(k_r)e^{ik_z z} + A^-(k_r)e^{-ik_z z}$$

- Where  $k_z$  is the vertical wavenumber, given by

$$k_z = \sqrt{k^2 - k_r^2}$$

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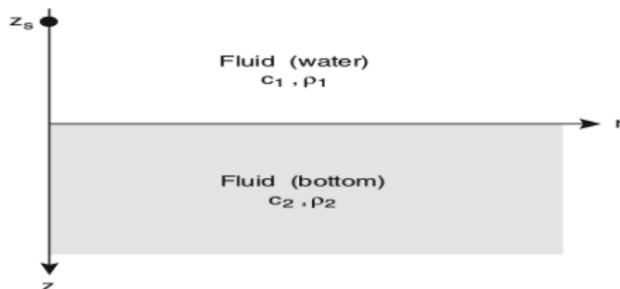
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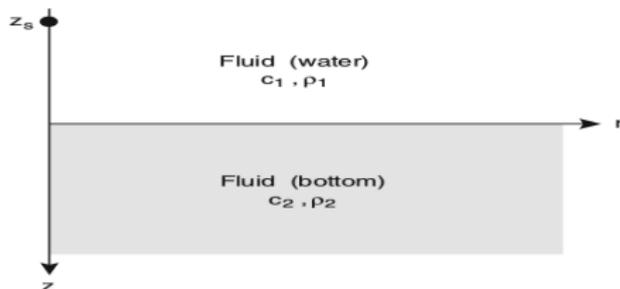
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- Using the radiation condition, the homogeneous solution in the upper halfspace is given by

$$H_{\omega,1}(k_r, z) = A_1^-(k_r)e^{-ik_z,1z}$$

- Similarly the solution in the lower halfspace will be

$$H_{\omega,2}(k_r, z) = A_2^+(k_r)e^{ik_z,2z}$$

- Both unknown amplitudes can be found using the boundary conditions of continuity of vertical displacement and continuity of pressure. The expression for the amplitudes is given by

$$A_1^- = \frac{\rho_2 k_{z,1} - \rho_1 k_{z,2}}{\rho_2 k_{z,1} + \rho_1 k_{z,2}} g_{\omega,1}(k_r, 0, z_s)$$

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# Hard Bottom Vs Soft Bottom

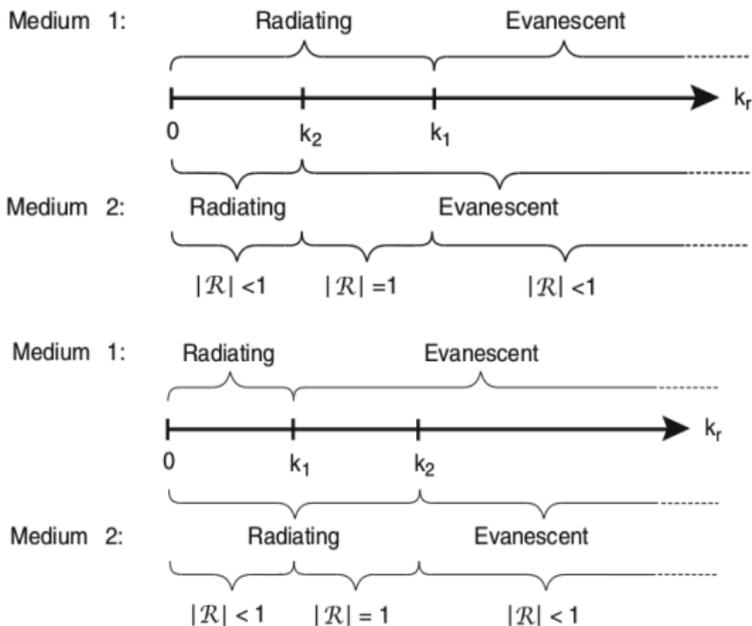
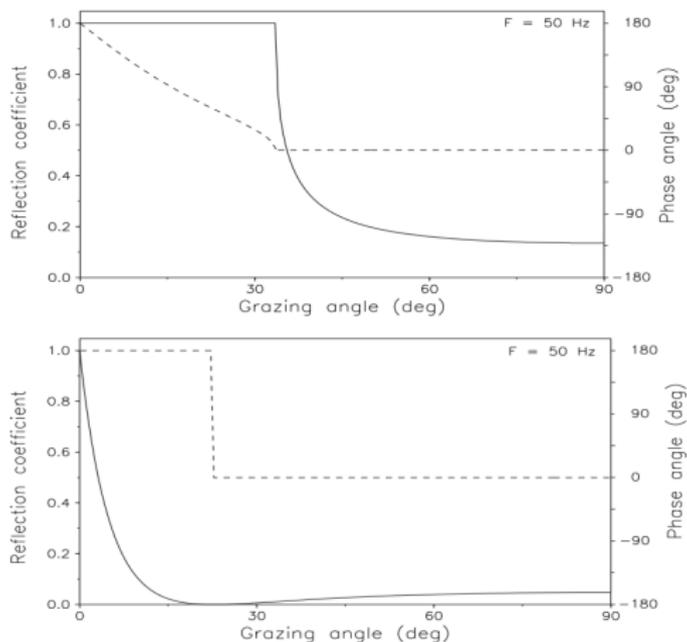


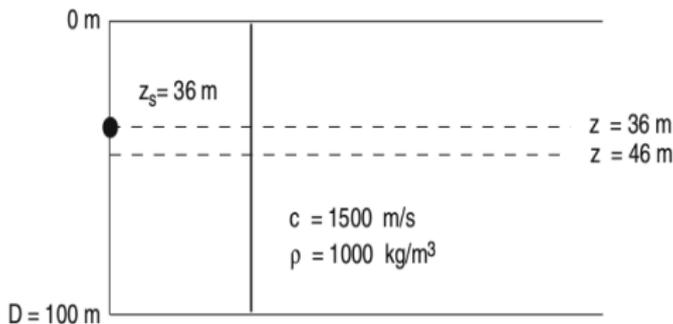
Figure : Spectral Domain for the hard bottom (top), soft bottom (bottom)

# Hard Bottom Vs Soft Bottom



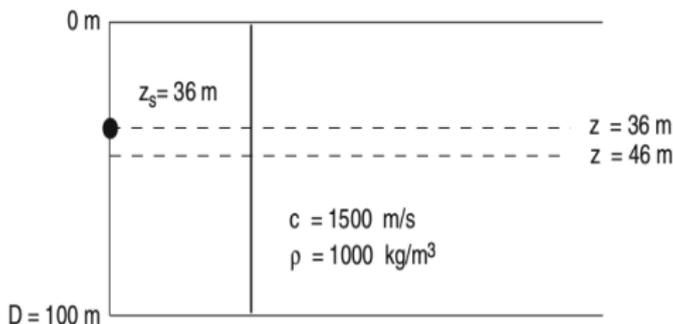
**Figure :** Reflection coefficient as a function of grazing angle for hard bottom (top), soft bottom (bottom). Solid curve: Magnitude. Dashed curve: Phase

# Ideal fluid waveguide



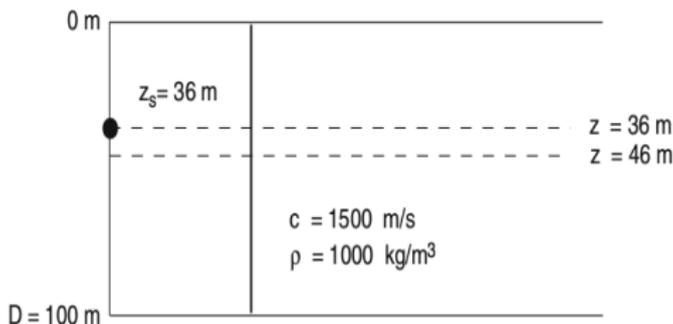
- Assuming the simplest ocean waveguide with range independent, isovelocity water column and perfectly rigid boundaries as shown in the figure.
- Solution of the waveguide problem can be obtained by superposition principle.

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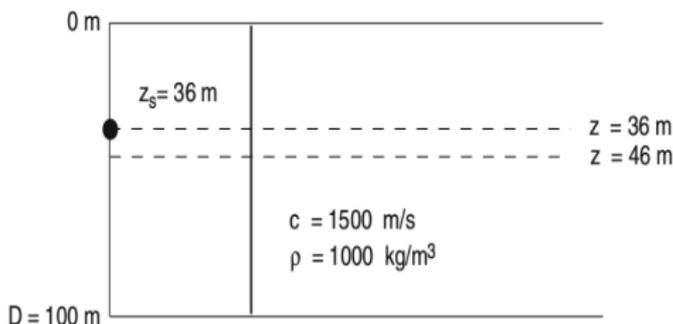
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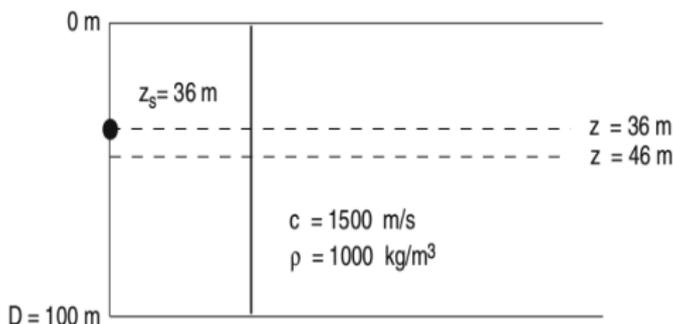


- The field produced at point  $(0, z_s)$  in the absence of boundaries is given by

$$\psi(r, z) = -S_\omega \frac{e^{ikR}}{4\pi R}$$

- For solving the homogeneous equation which satisfies the boundary conditions, we can use two methods
  - Image Method.
  - Integral Transform solution.

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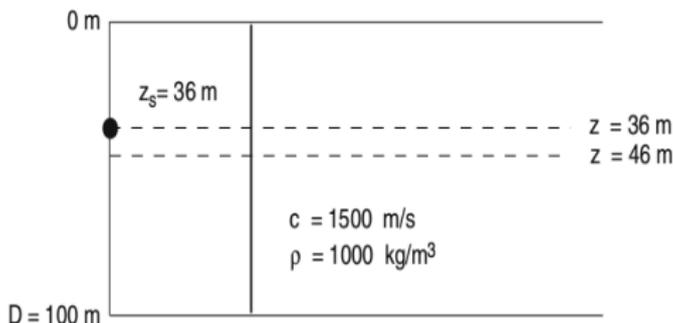


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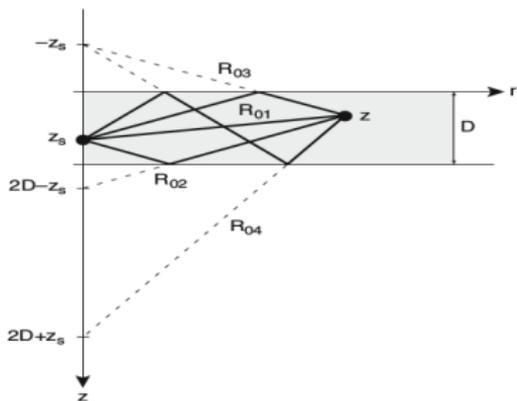


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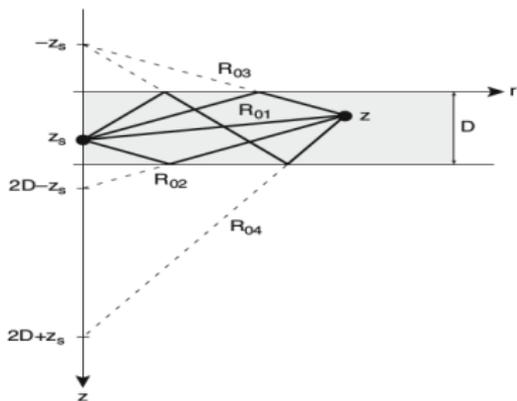
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- In the waveguide problem, sound will be multiply reflected between the two boundaries and require an infinite number of image sources.
- Figure above shows the contribution from the physical source at depth  $z_s$  and the first three image sources, leading to the first four terms in the expression in the total field

$$\psi(r, z) \simeq \frac{-S_\omega}{4\pi} \left[ \frac{e^{ikR_{01}}}{R_{01}} - \frac{e^{ikR_{02}}}{R_{02}} - \frac{e^{ikR_{03}}}{R_{03}} + \frac{e^{ikR_{04}}}{R_{04}} \right]$$

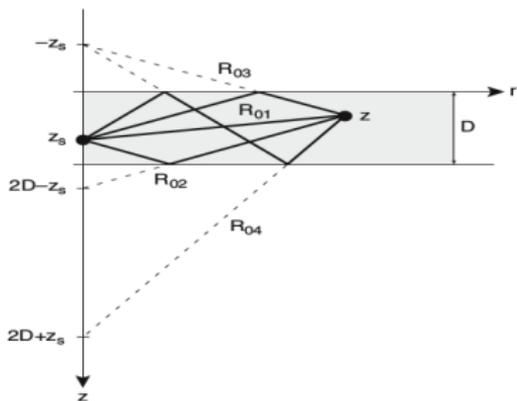
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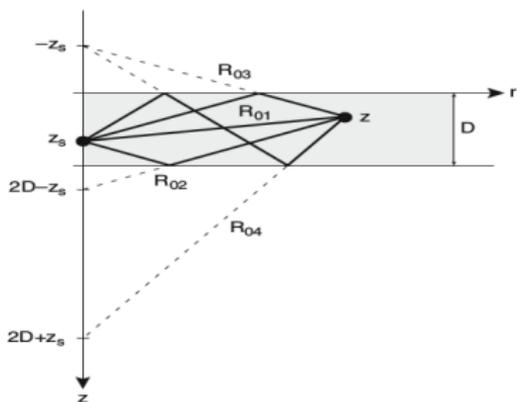
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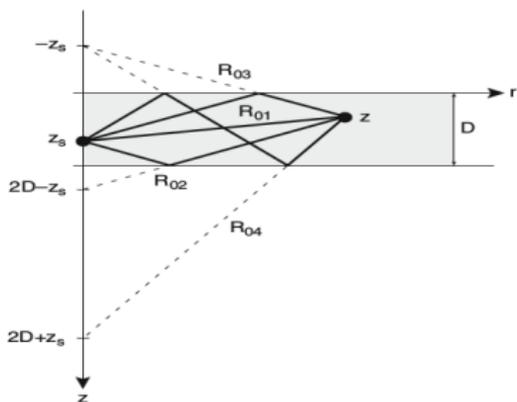
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- where the negative sign corresponds to an odd number of reflections and the positive signs correspond to an even number of reflections.
- Expanding it to the total field, we have

$$\psi(r, z) = \frac{-S_\omega}{4\pi} \sum_{m=0}^{\infty} \left[ \frac{e^{ikR_{m1}}}{R_{m1}} - \frac{e^{ikR_{m2}}}{R_{m2}} - \frac{e^{ikR_{m3}}}{R_{m3}} + \frac{e^{ikR_{m4}}}{R_{m4}} \right]$$

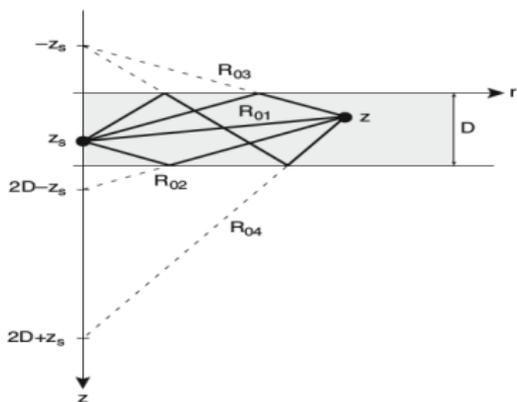
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# Integral Transform solution

- Using the integral transform technique, the total field is represented as

$$\psi(r, z) = \int_0^{\infty} \psi(k_r, z) J_0(k_r r) k_r dk_r$$

- Using the superposition principle and applying the boundary conditions, the free-field waveguide solution becomes

$$\psi(k_r, z) = -\frac{S_{\omega}}{4\pi} \begin{cases} \frac{\sin k_z z \sin k_z (D - z_s)}{k_z \sin k_z D}, & z < z_s \\ \frac{\sin k_z z_s \sin k_z (D - z)}{k_z \sin k_z D}, & z > z_s \end{cases}$$

- Using the relation between Hankel and Bessel function and doing some algebraic manipulations we have

$$\psi(r, z) = \frac{iS_{\omega}}{2D} \sum_{m=1}^{\infty} \sin(k_{zm} z) \sin(k_{zm} z_s) H_0^{(1)}(k_{rm} r)$$

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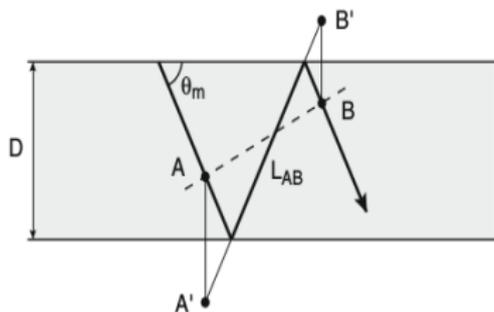
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# Relation between Rays and Modes

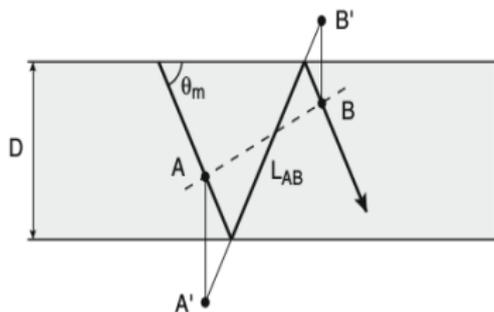


- A normal mode is the superposition of up and down going plane waves.

$$\sin(k_{zm}z) = \frac{e^{ik_{zm}z} - e^{-ik_{zm}z}}{2i}$$

- Both of the waves are propagating at the grazing angles  $\theta_m = \arctan(k_{zm}/k_{rm})$ . The propagation path is shown in the figure.
- The dashed line shows the common wavefront for the wave passing through points A and B. The distance between A and B is given by  $L_{AB} = m\lambda$  where  $\lambda$  is the acoustic wavelength.
- The discrete wavenumbers are the points where multiple reflections of the plane wave are in phase, giving rise to resonance.

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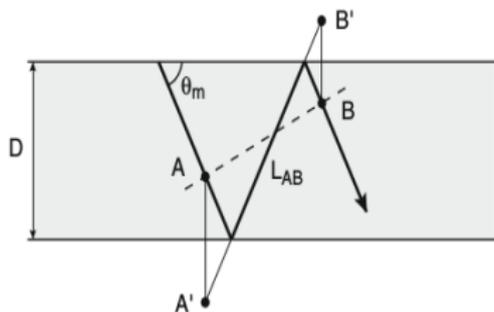


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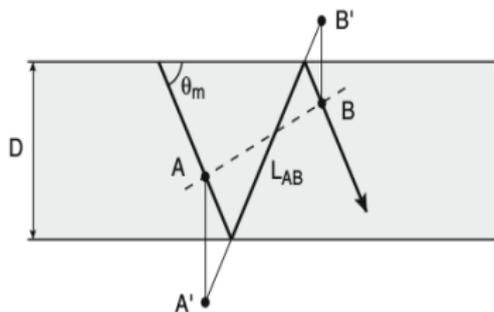


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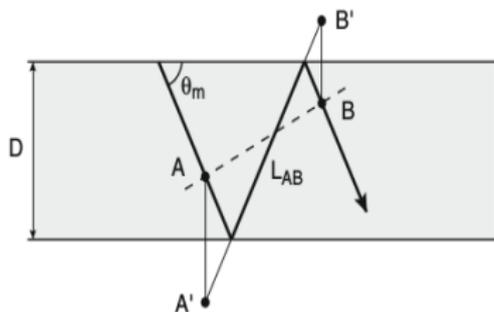


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# Deep Ocean waveguide

- Depth variations and temporal variations of the sound speed profile.
- The scale of temporal variations is much larger than the vertical variations.
- Range independent environment can provide a realistic model of the deep ocean specially for the arctic environment.
- In the exact solution, the water column is divided in multiple layers and the wave equation is solved for each layer.
- WKB solution approximates the solution of the wave equation by amplitude and phase where both are functions of depth.

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